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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/678,607
		Filing Date	10/06/2003
		First Named Inventor	Frederick Hayes-Roth
		Art Unit	3621
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	FHR-102

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):  <input type="checkbox"/> Remarks
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Tianhua Gu, Reg. No. 52480
Signature	
Date	9/31/04

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	SYLVIA LEE	Date	9/31/04
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JF  
Dae

IN THE US PATENT AND TRADEMARK OFFICE

Patent Number: Not assigned  
Application Number: 10/678,607  
5 Filing Date: 10/06/2003  
Applicant: Frederick Hayes-Roth  
Application Title: Open Community Model for Exchanging  
Information in Dynamic Environments  
Examiner: Not assigned  
10 Art Unit: 3621

**Certificate of Mailing by "Regular Mail"**

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DATE OF MAILING: 9/3/04

SYLVIA LEE  
NAME OF PERSON SIGNING

**PETITION UNDER 37 CFR §1.10**

15

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

20

Sir:

25 The above-identified application was filed with the USPTO on October 3, 2003 by Express mail Post Office to Address. The Official incorrectly accorded the filing date as of October 6, 2003. The applicant petitions the Commissioner to accord the filing date as of the correct filing date of **October 3, 2003**.

Copies of the Post Office to Address, the Transmittal letter and the returned post card are enclosed herewith for evidences. The date of the print stamped on the Post Office to Address is October 3, 2003 clearly. The number of the "Express Mail" mailing label is placed on the transmittal letter. The filing date printed on the returned post card by the USPTO is October 3, 2003.

10 Respectfully submitted,



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Reg. No. 52,480

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PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. FHR-102

First Inventor Frederick Hayes-Roth

Title Open Community Model for Exchanging Information

Express Mail Label No. ER512364267US

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 50]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
- Oath or Declaration [Total Sheets ]  
 a.  Newly executed (original or copy)
- Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

### ADDRESS TO:

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number:			OR	<input type="checkbox"/> Correspondence address below
Name	30869			
Address	PATENT TRADEMARK OFFICE			
City	State	Zip Code		
Country	Telephone	Fax		
Name (Print/Type)	Katharina Wang Schuster		Registration No. (Attorney/Agent)	50,000
Signature			Date	Oct. 3, 2003

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Title: Open Community Model for Exchanging  
Information in Dynamic Environments  
Application No.: Not assigned  
Filing Date: Filed herewith  
Attorney Docket: FHR-102

Received today:

1. Postcard
2. Transmittal form
3. Specification 50 pages
4. Drawings 6 pages
5. IDS and cited references

03970 U.S. PTO  
10/678607



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